

A Constructive Technology Assessment of Stationary Energy Storage Systems

- A prospective Sustainability Analysis with the focus on electrochemical storage systems –

Manuel Baumann

3rd Winter School on Technology Assessment, 10th of December 2012, Lisbon FCT



1. Introduction

- Bachelors degree “European energy economics”
- Masters degree in "Energy and ecologic management"
- since 2012 Research associate at ITAS



Field of work:

- Mobile and stationary electro chemical storage systems
- Description of real condition requirements during operation phase
- Creation of technical and economical scenarios for energy storage systems

1. Introduction: Supervisors

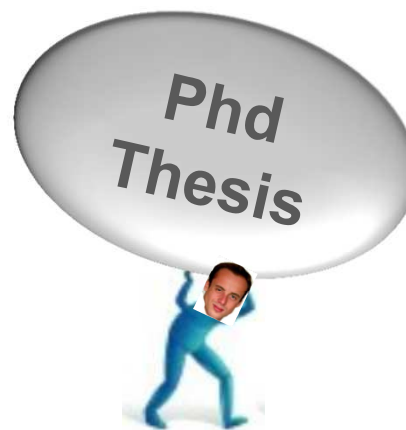
- Principle supervisor: Dr.- Ing. Marcel Weil



- Second supervisor: Prof. Dr. Antonio Moniz



- Me



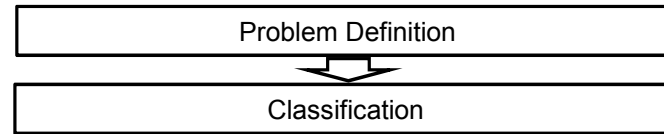
1. Introduction: Formal frame

■ Organisational Structure:

- Helmholtz project „stationary and mobile electrochemical Energy Storage“
- Related Institutions within the project: KIT, RWTH Aachen, DLR.....
- Related Institutions within the Thesis: UNL – FCT, KIT-ITAS



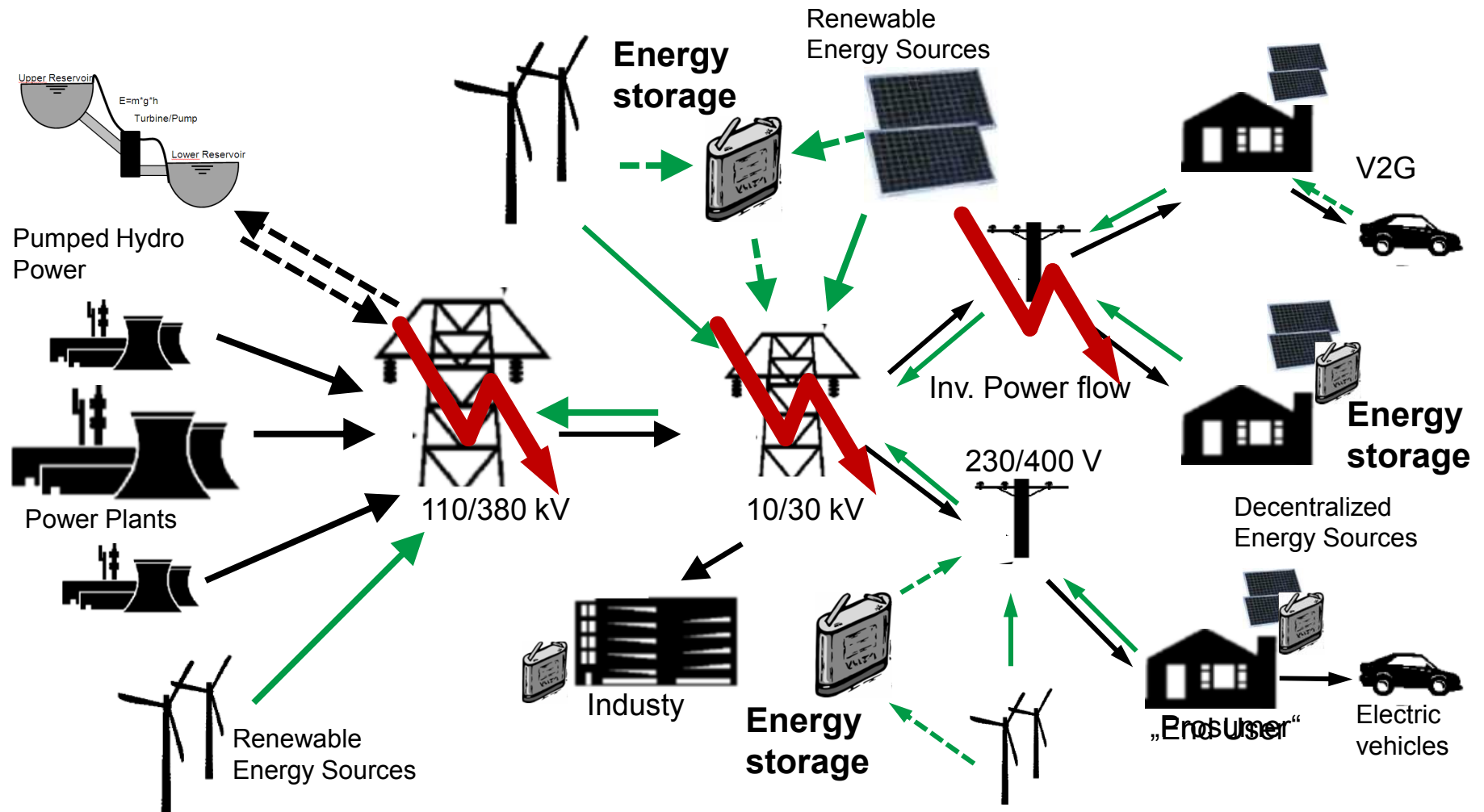
Phd-Thesis



In & Outputs ⇨

Problem Definition

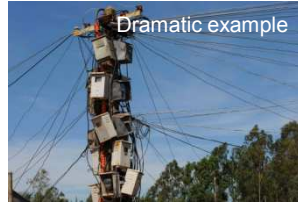
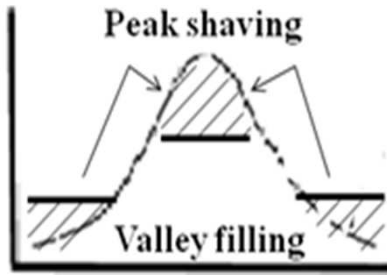
Classification



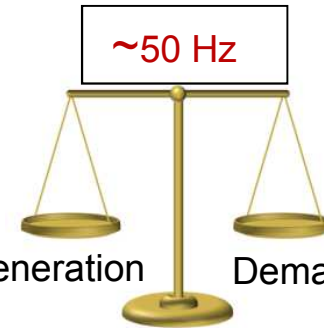
Source: Own figure inspired by Tomic et. al 2005

Problem Definition

Classification

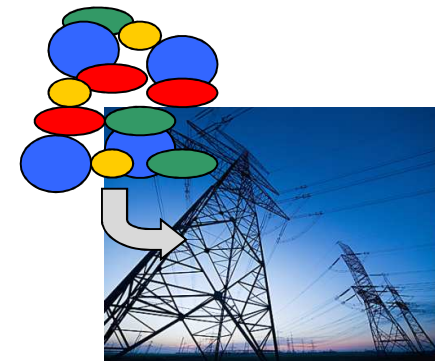


Transmissions & Distribution deferral



Generation Demand

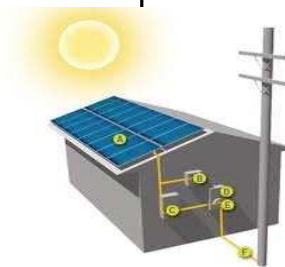
Ancillary services provision



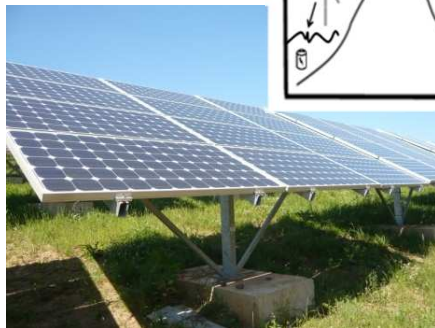
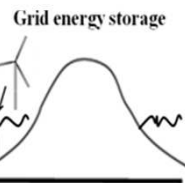
Decentralized energy generation storage



Energy Storage



End user storage

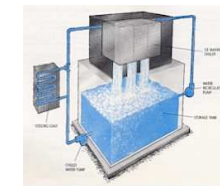
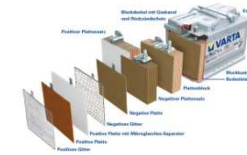


Fluctuating Energy Sources Integration



Different possibilities of Energy Storage:

- Mechanical (pumped hydro storage, CAES
- Electrochemical (batteries...)
- Chemical (hydrogen, wind gas.....)
- Thermal (molten salt, ice storage.....)
- Electro static (capacitors, SMES....)

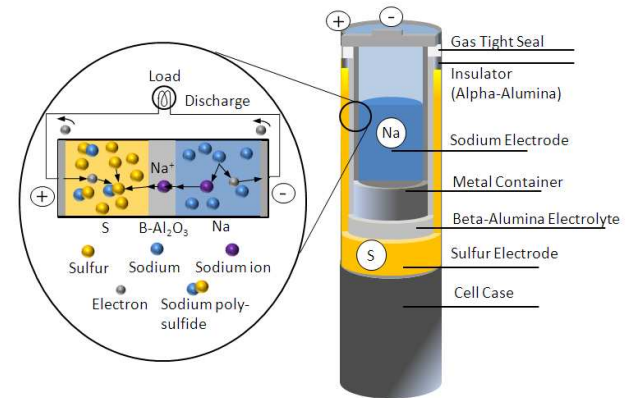
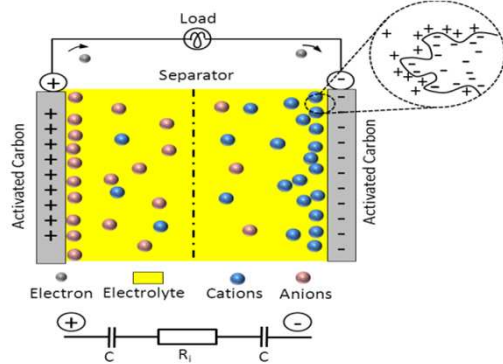


Focus: electrochemical Energy Storage

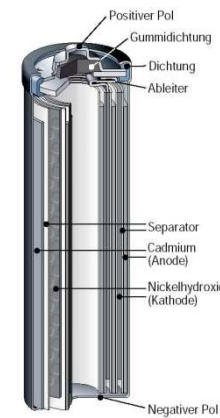
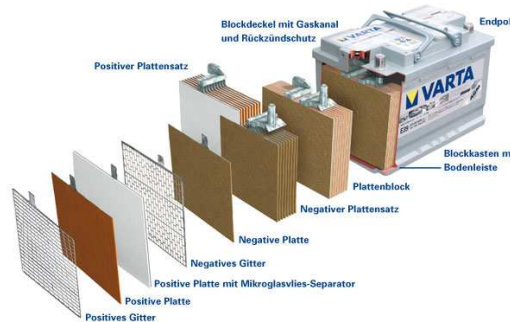
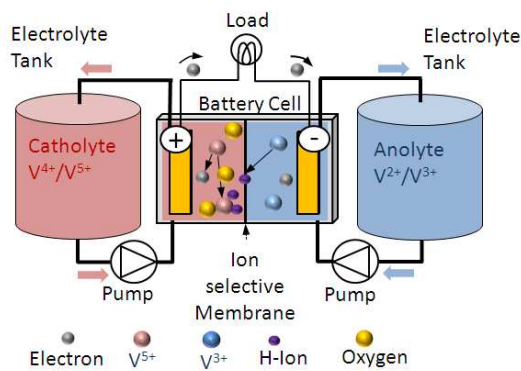
- Don't require specific geologic and orographic conditions less landscape concerns
- Especially interesting for low to middle voltage areas
-

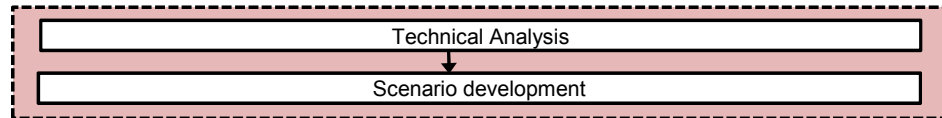
Problem Definition

Classification



Electrochemical Energy Storage Technologies

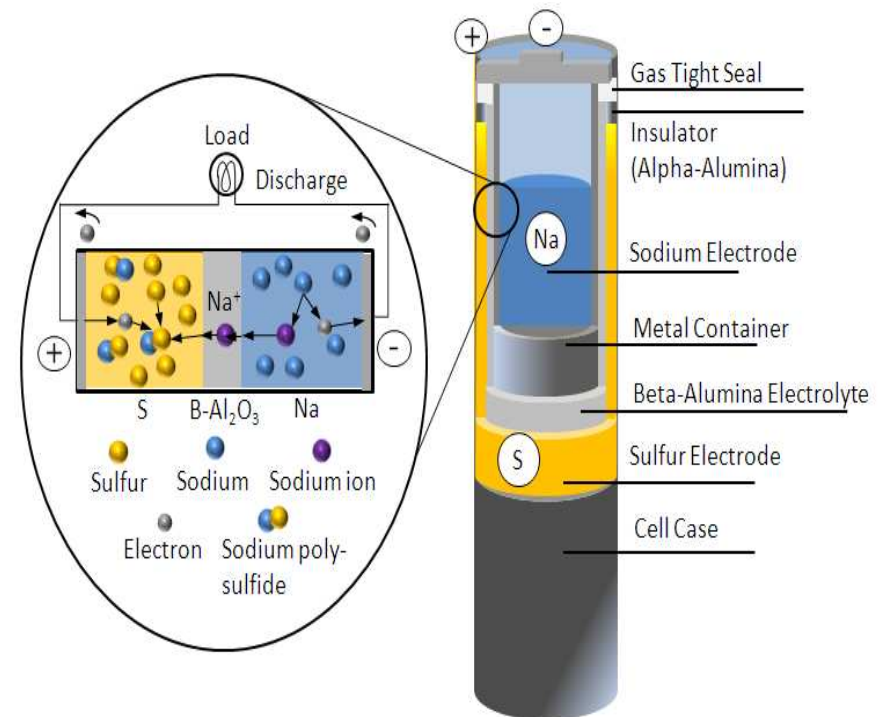


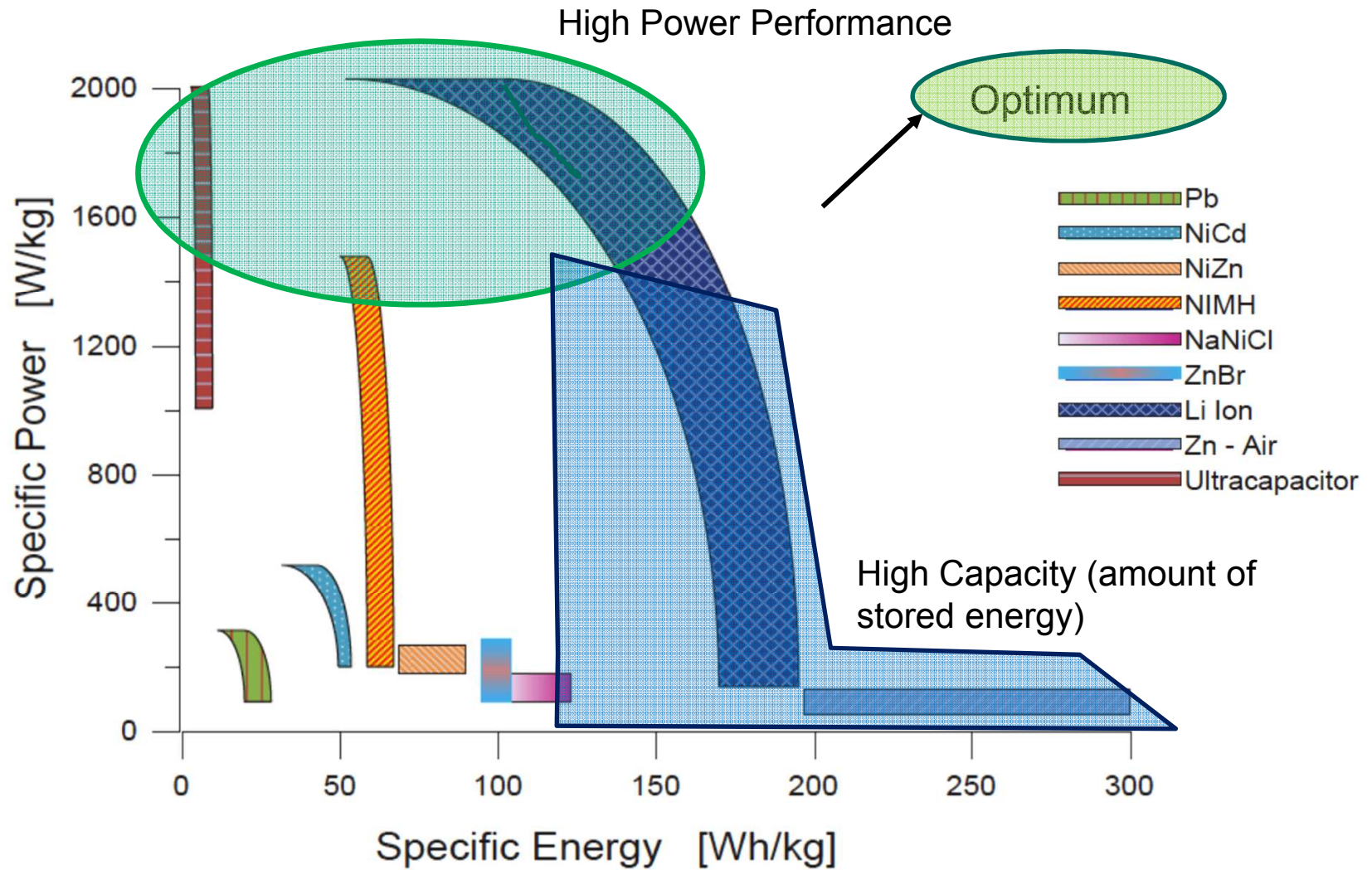


■ Example A: Sodium Sulfur Battery

■ Already commercially used for Grid applications

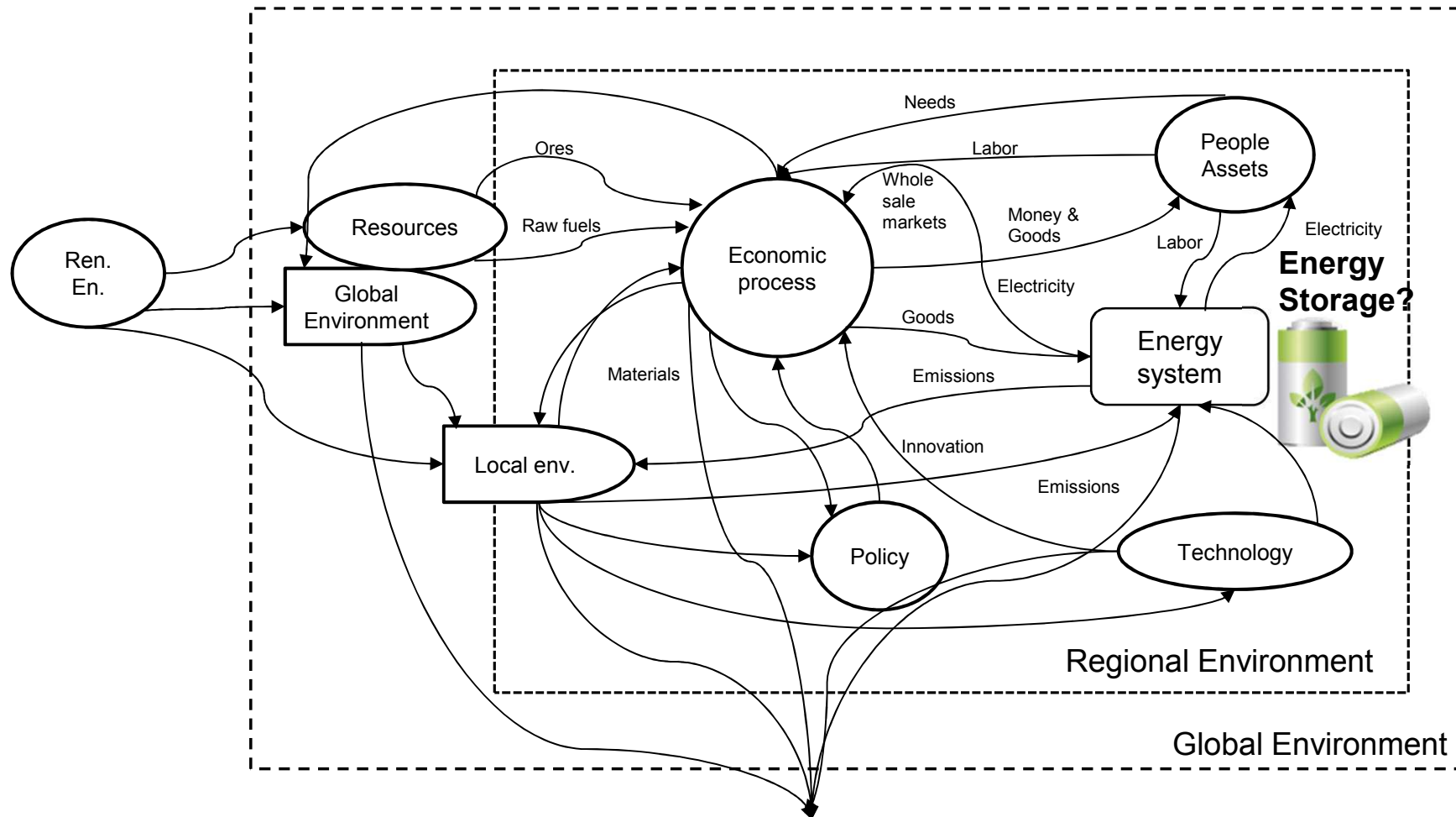
- + High energy density
- + Acceptable Efficiency grades (~ 80 %)
- + High cycle stability
- + Calendaric life time
- + Good cost performance
- High self discharge grades
- Poor power density
- Thermal management system + insulation
-





Problem Definition

Classification



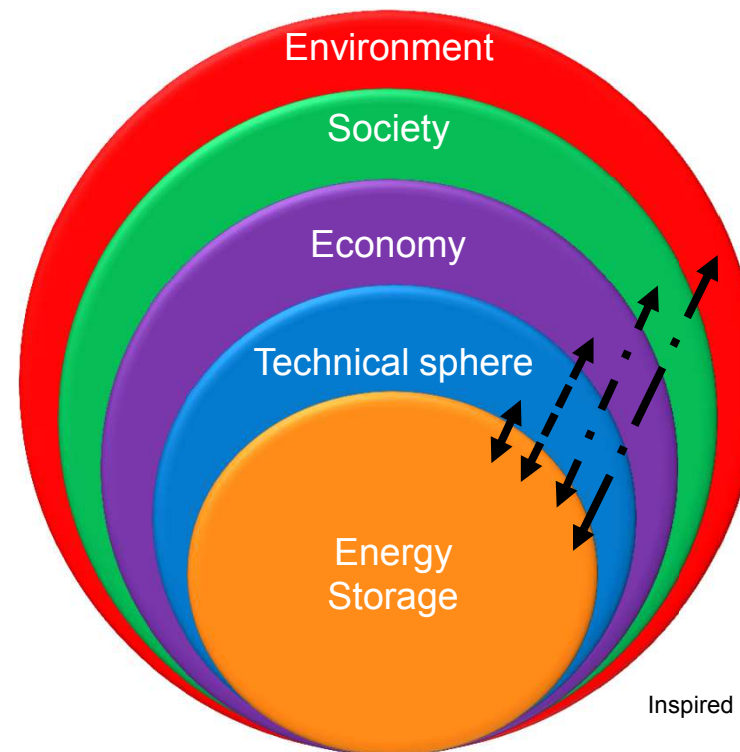
Decision making & Technology development

Own figure inspired by Wang et al. 2009



- It is insufficient to exclusively look at the operation phase to assess a complex technology (Grunwald et al. 2002)
- Can lead to misleading interpretations which can disregard social or ecological impact factors over the whole life cycle of a technology

Integrated Concept for decision making and technology development

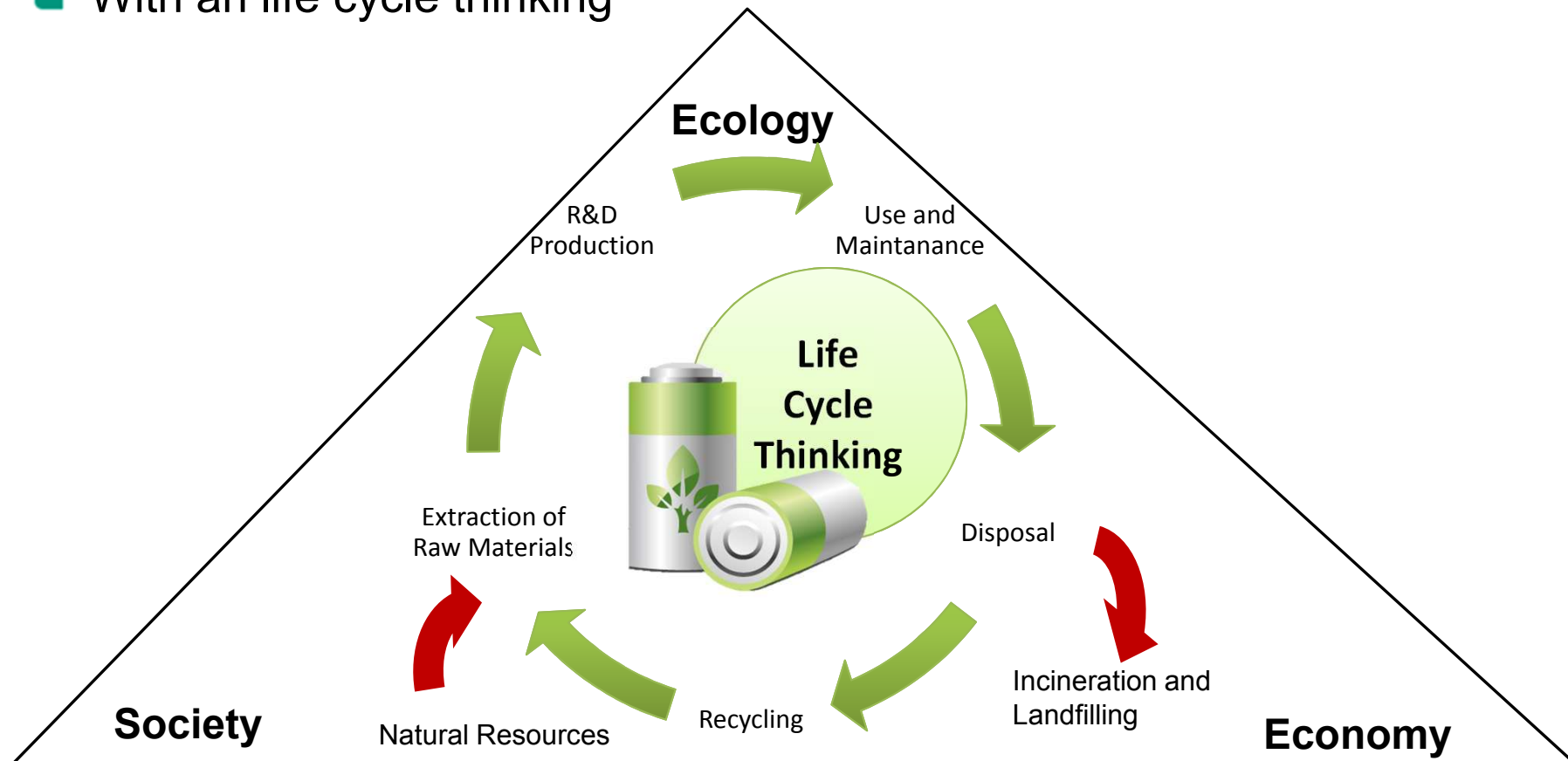


Inspired by Musango & Brent 2012

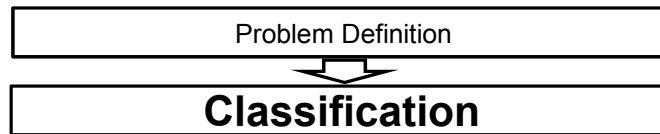
Problem Definition

Classification

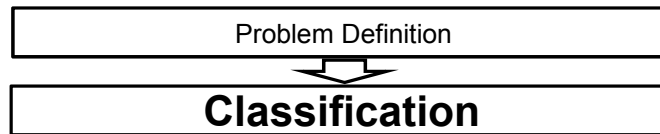
■ With an life cycle thinking



■ Sustainability: a balance of social and economic activities as well as the environment (Wang et al. 2009)

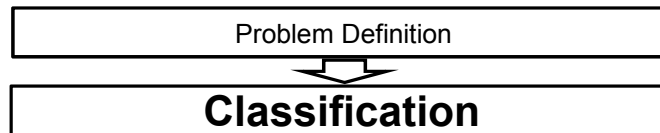


Classification and aim



Is this a Technology Assessment (TA) Problem?

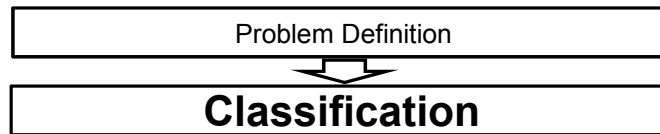
- TA : „*scientific and communicative contribution to solve technological related societal problems*“ (Grunwald, A. 2002)
- Develop aggregated reports for decision makers about the actual level of knowledge and abilities regarding potential impacts (Grunwald, A. et al. 1999)



Constructive TA*:

- “Constructive” TA is based on a seamless web of related highly heterogenic factors which also underlie dynamic new switch stands (Grunwald, A. et. al 99)
- Expectation of minimizing mismatches, wrong investments, possible social conflicts, and environmental impacts of a new technology in an early development stage (Shot & Rip, 1997)
- Assist rational decision making as well as development in energy system options, planning, management and economy for a sustainable development (Wang et. al, 2009)

*Final classification of the work has to be worked out

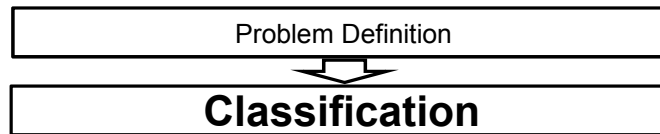


The academic question is.....

How to evaluate different energy storage technologies in a prospective manner with a full integrated sustainability approach to form a base for decision making?



Source: kommunikationsabc.de

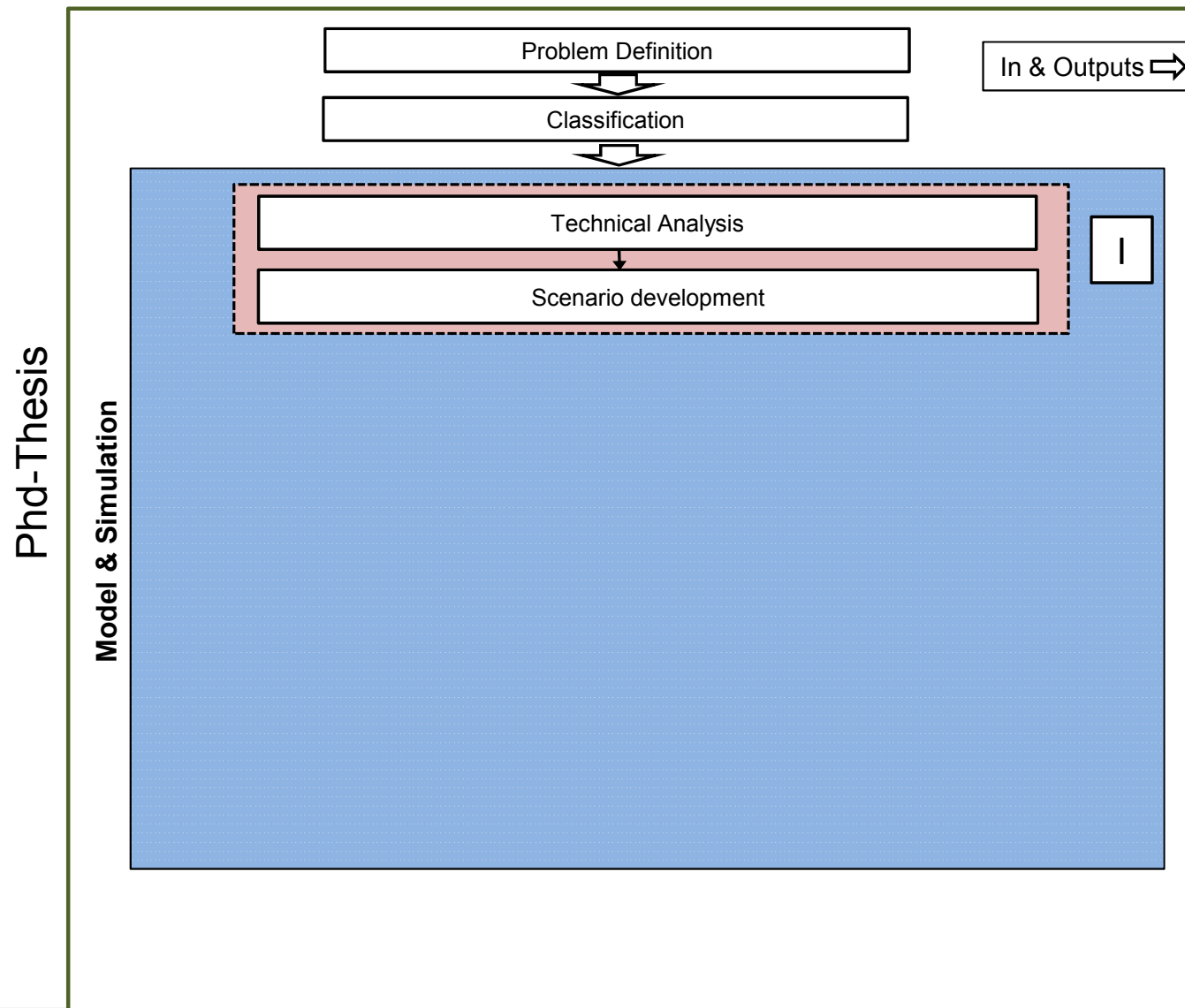


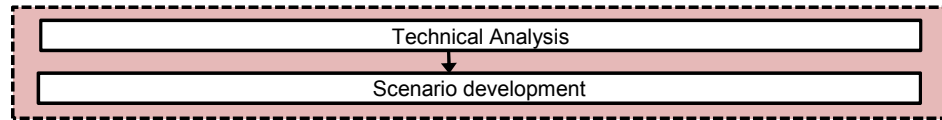
The **potential** Solution.....

- Economic, technological and ecological comparison of (electrochemical) Energy storage technologies based on a life cycle sustainability Analysis (LCSA) and multi criteria Analysis (or evaluation) (MCA)
- Develop a new **LCSA-MCA** model through new combined highly interdisciplinary approach



Source: de.colourbox.com

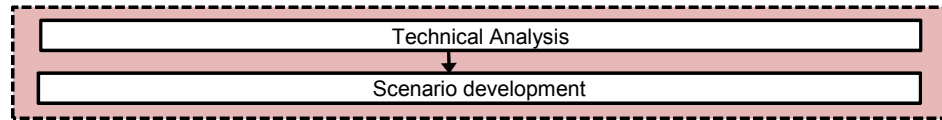




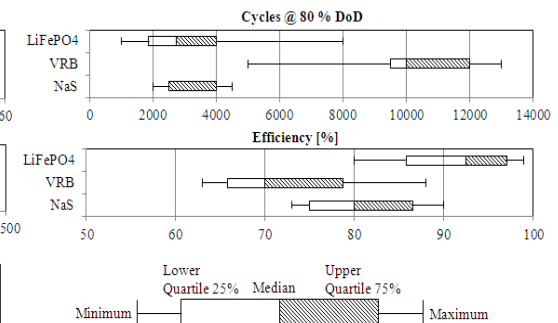
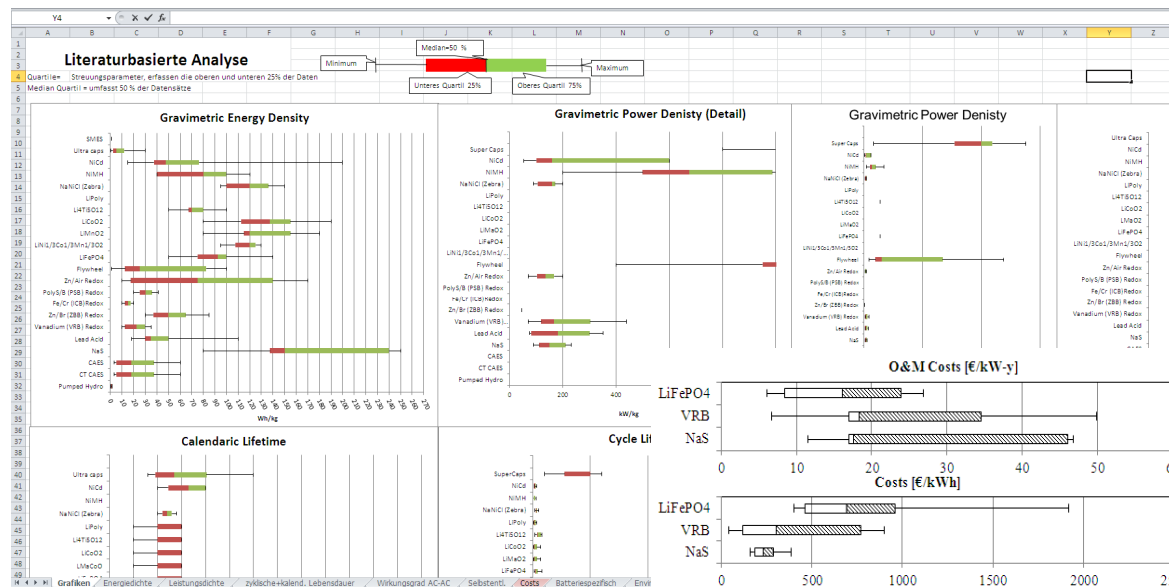
1. Definition of the field of technology
2. Investigate actual state of development and further development requirements
3. Identify possible bottlenecks
4. Investigate future trends
5. Identify application fields & market potential
6.

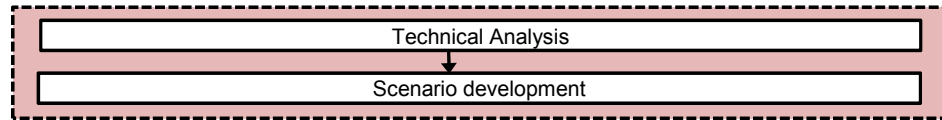


Source: mugler.de

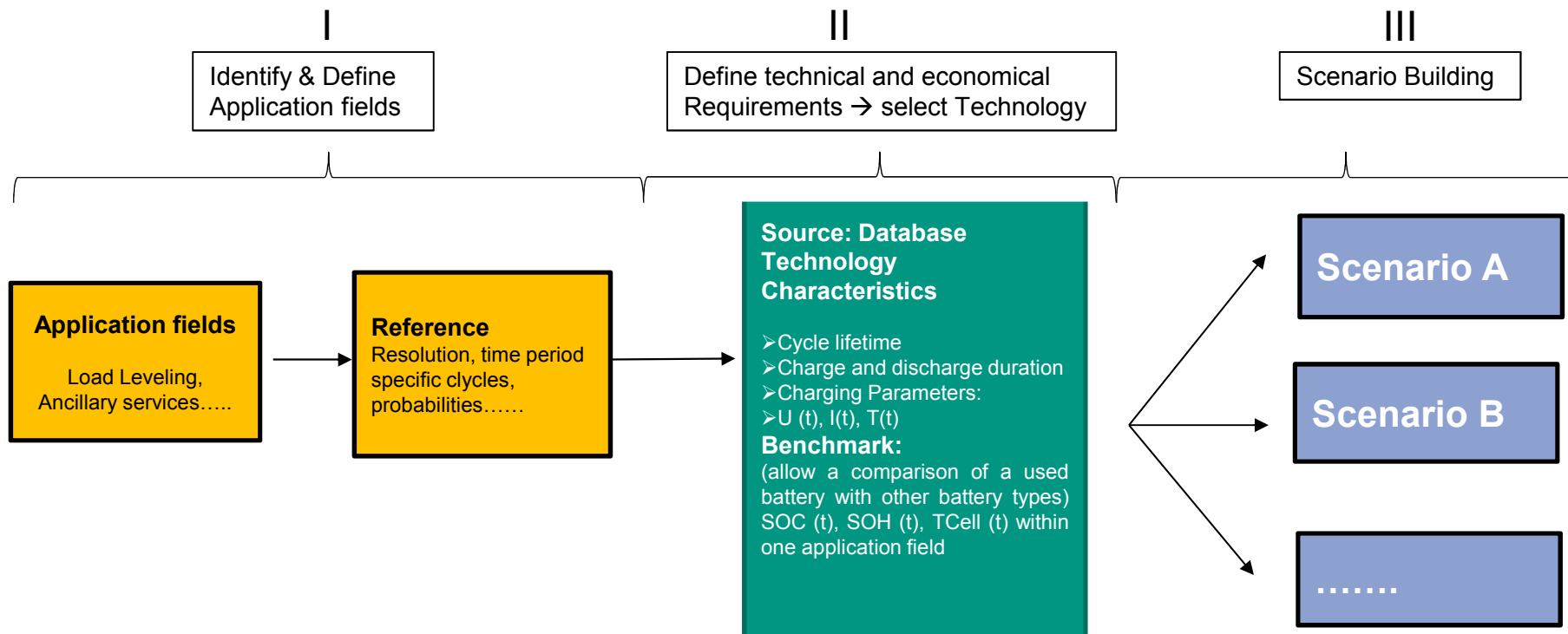


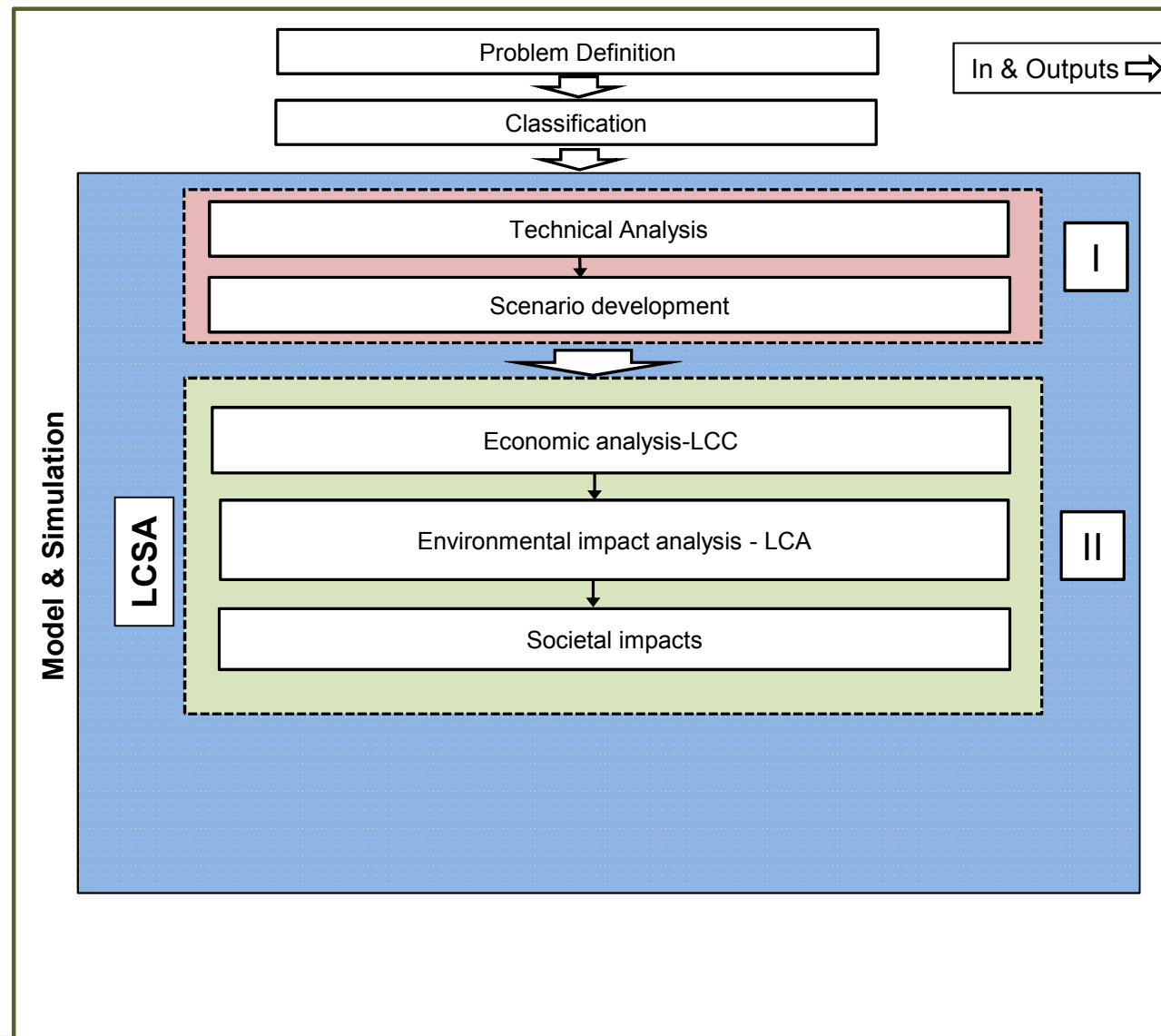
- Develop an Energy Storage Technology data base
- Relevant values based on literature and manufactures
- Sources: Scopus, Science Direct, IEEEExplore, Interviews etc.

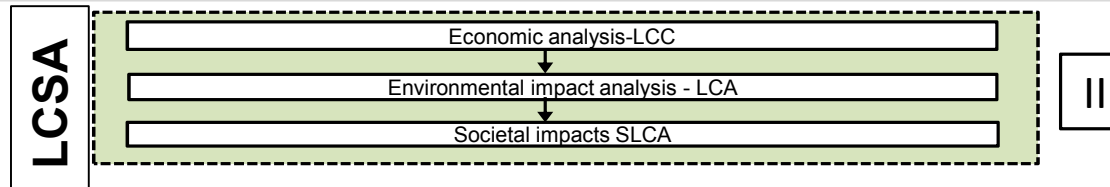




- Definition of different application fields / system integration scenarios
- Identify application fields of different Energy Storage technologies







- Based on comprehensive literature research (developed data base)
- Life Cycle Sustainability Assessment (LCSA)

$$\text{LCSA} = \text{LCA} + \text{LCC} + \text{SLCA}$$

LCSA Life Cycle Sustainability Assessment

LCA Environmental Life Cycle Assessment

LCC LCA-type Life Cycle Costing

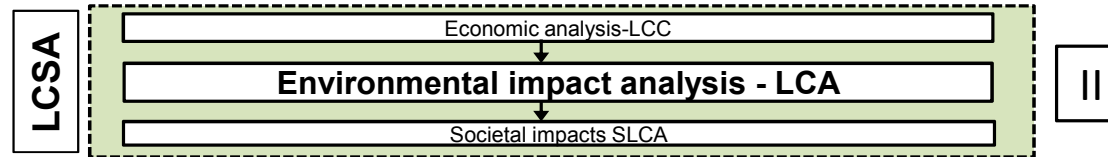
SLCA Social Life Cycle Assessment

Life Cycle Assessment – LCA

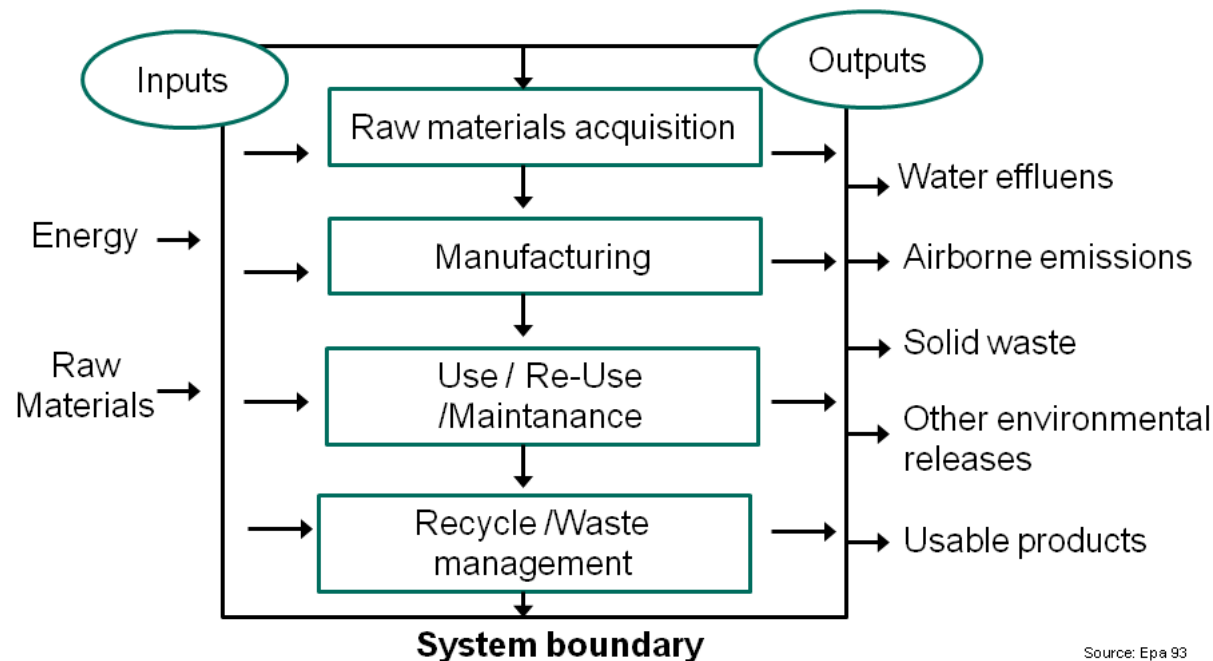
Life Cycle Costing – LCC



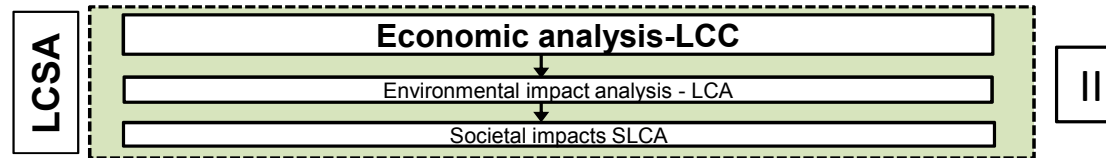
Source: superinvest.de & adpic.de



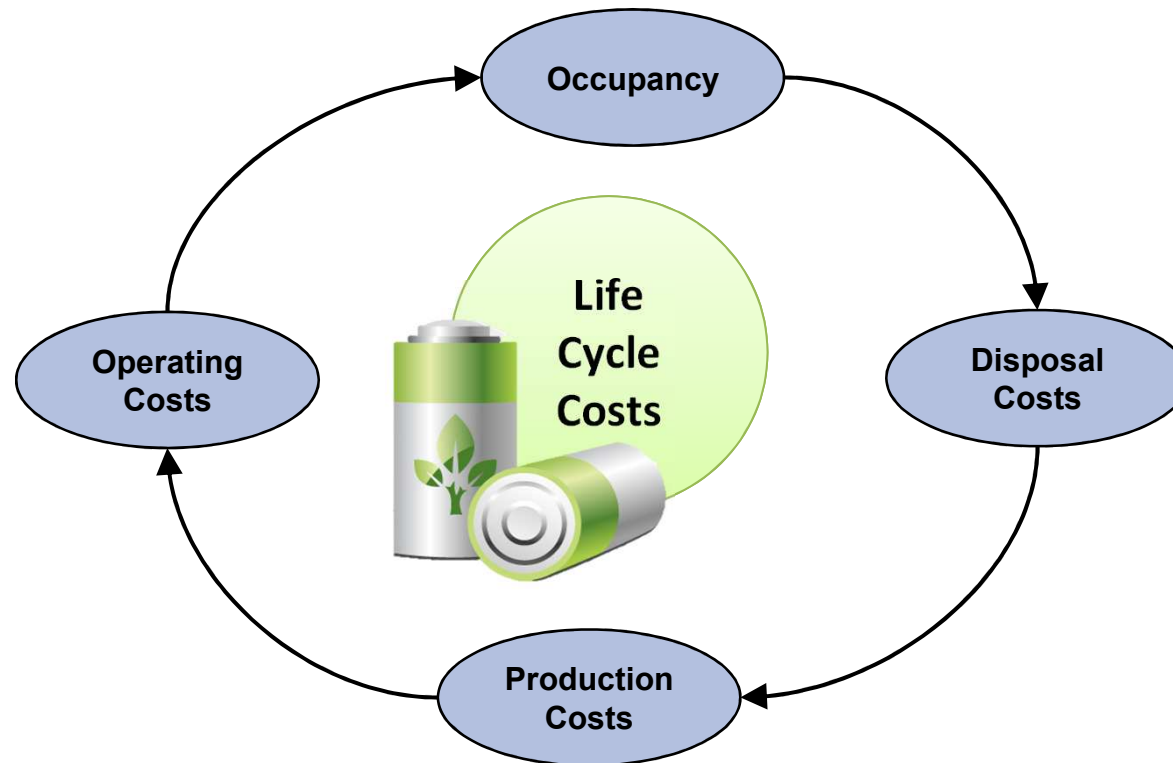
- Ecologic perspective to assess environmental aspects and potential impacts associated with a product regarding their complete life cycle (cradle-to-grave)
- Choice of right components or entire technologies
- Standardized methodology (ISO 14040)

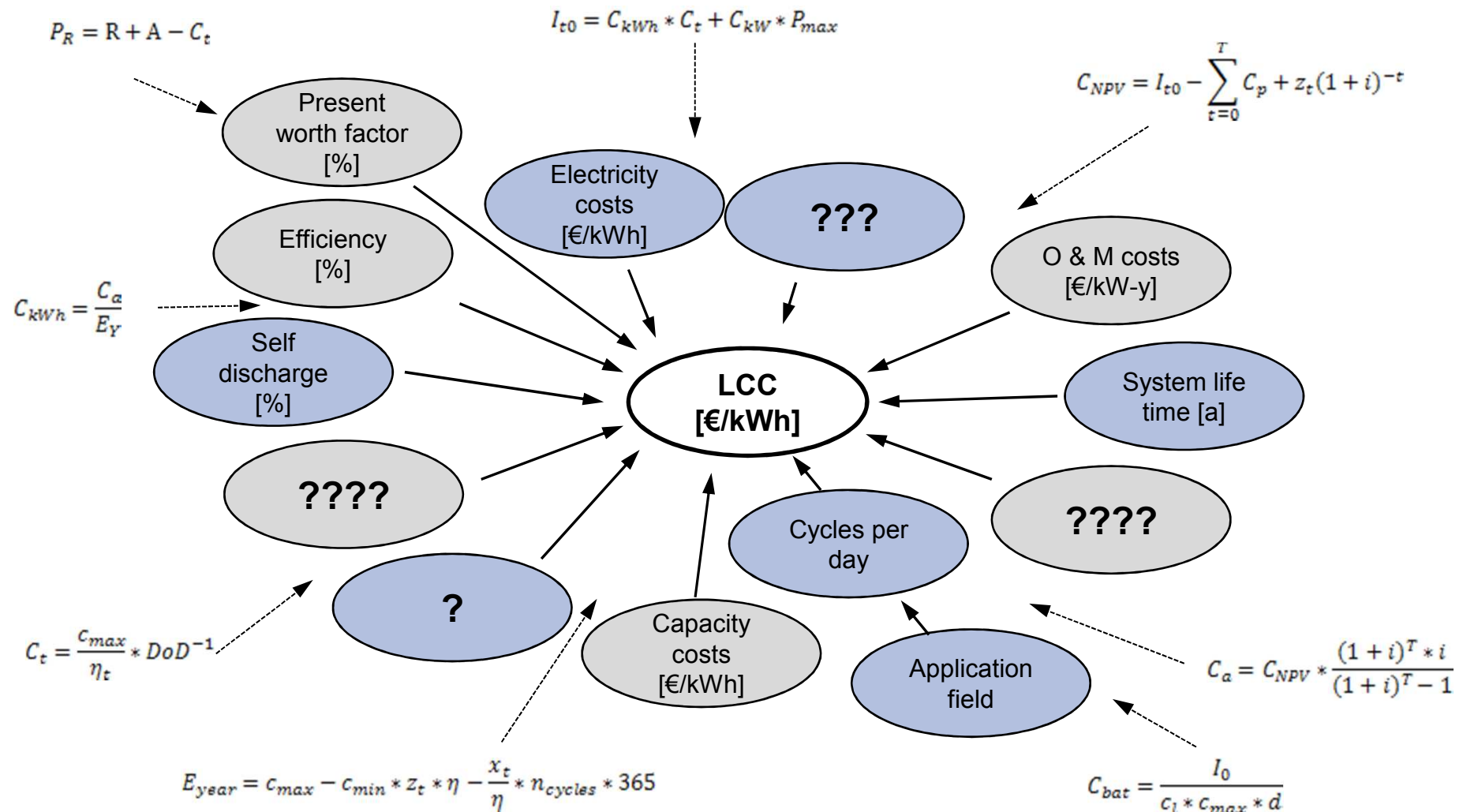
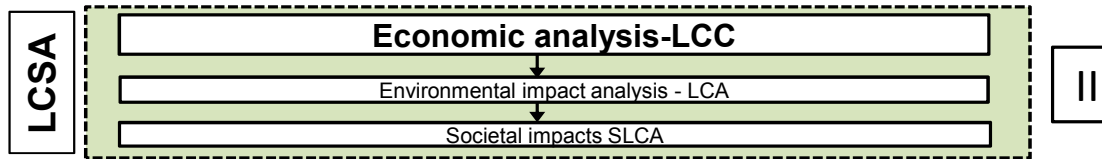


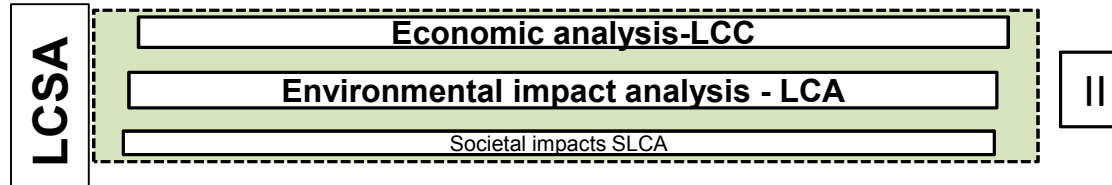
Source: Epa 93



- Techno-economic perspective to evaluate costs
- Similarity to LCA
- Dynamic investment calculations (Net Present Value method (NPV) Annuity....)







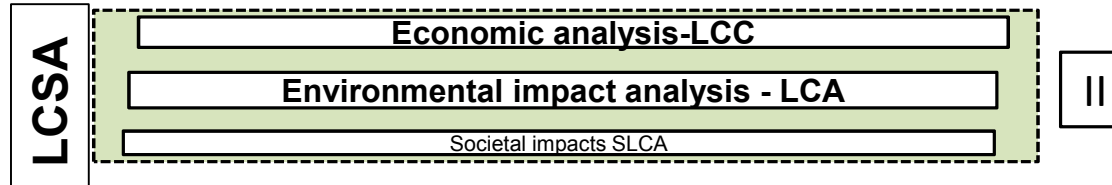
- Can be very detailed, potentially expensive and time consuming
- Huge amount of Data required (equal production process etc.)
- How reliable is my database and is there even data available?
-



**High amount of uncertainties
& many assumptions!**

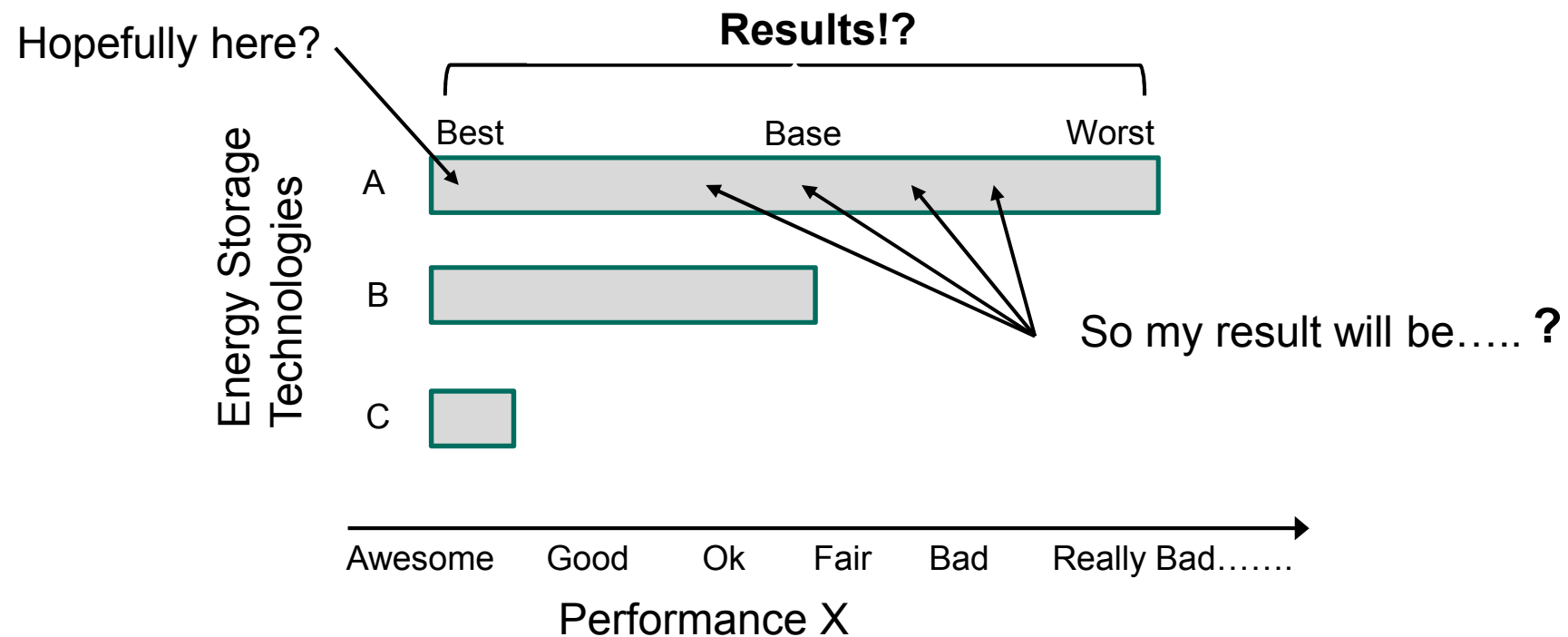


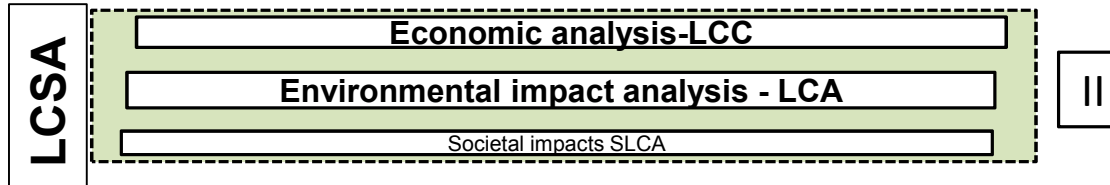
Source: freeenterprise.com



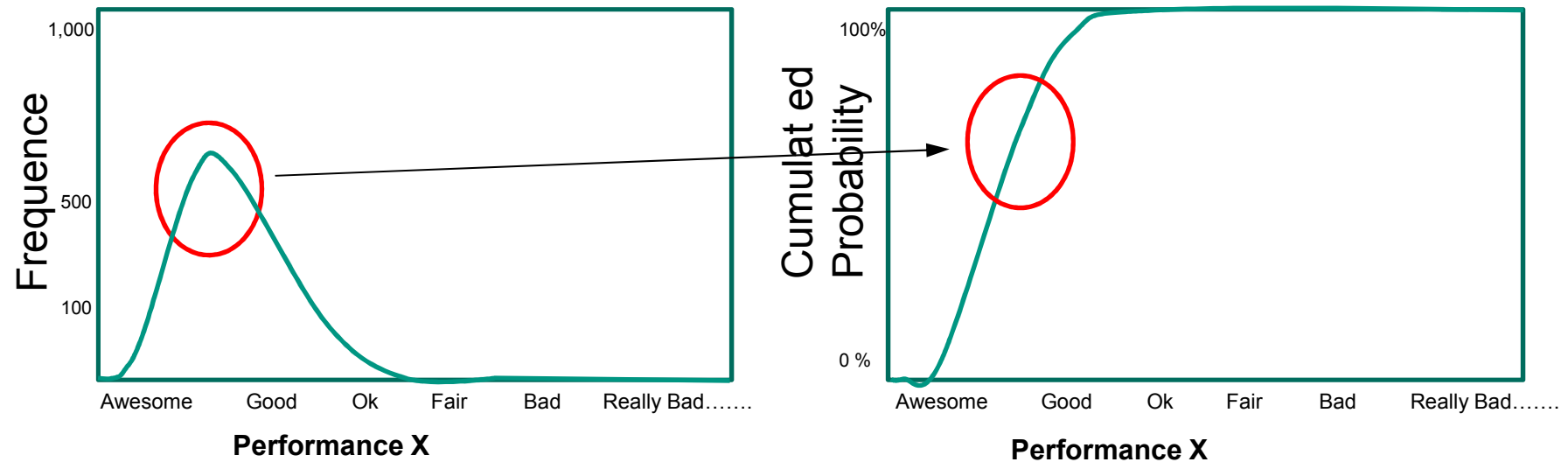
■ Deterministic methods (LCA+LCC)

- Different scenarios equal best, worst and base case
- To show possible spreads of an performance/result and future developments.

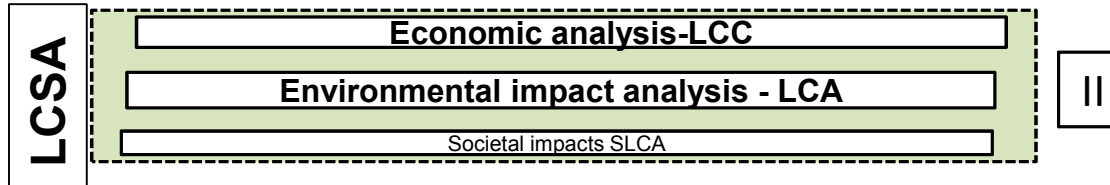




- Probabilistic methods to assure deterministic approach
- Monte Carlo Simulation (numerical solution of mathematical problems)
- Create adequate number of simulations

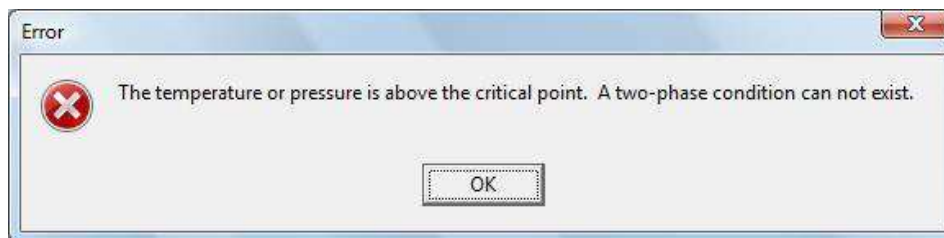


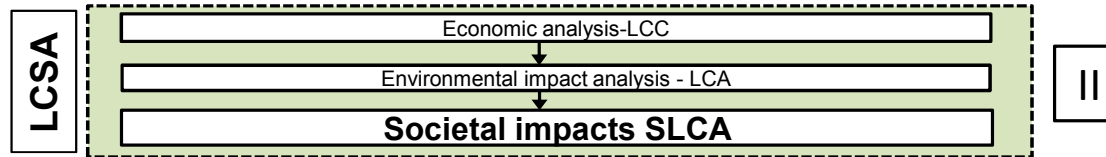
There will be probability Y that the technology C will be „awesome“ to „good“ regarding performance X (e.g. Results will be mean, median, percentiles ...)



- Again: Adequate amount of data is required
- When there is not enough data, adequate assumptions have to be done
- Command variables, input values and related distribution functions as well as connecting functions have to be known

Rubbish in, rubbish out.....

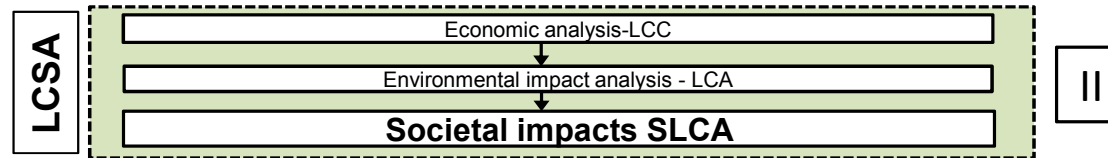




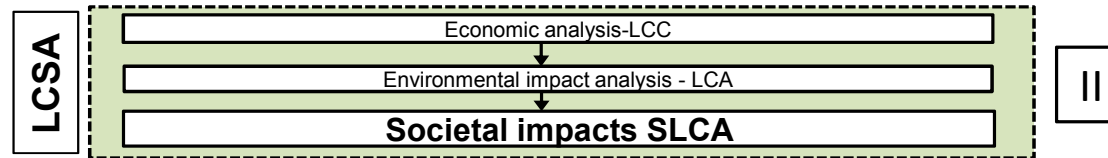
Social Life Cycle Assessment - SLCA



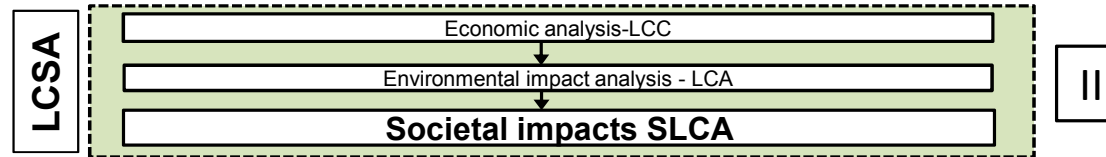
Source: carookee.net



- The societal perspective for e.g. reaction of residents, local added value or contribution to regional development etc. (Zschieschang, 2012)
- Important criteria for peoples acceptance of energy systems (Wang et al, 2009)
 - Base for political, legislative and administrative framework
 - Tendency of institutional actors, policy of public information
- High similarity to LCA (yet some differences)
- Mainly qualitative and only partially quantitative approach possible



- There is no really standard to make a SLCA
- SLCA is/was rarely used for the energy sector
 - Only a few studies (e.g. Gallego et al. 2009 & 2010, Oberschmidt 2010)
- Which impact categories to include in the assessment and how to measure these?
 - Interviews with decision makers and stakeholders?
- How to weight a social criteria?
 - Subjective, objective or combined?
- Perception of social impacts is very variable
 - Equal vagueness of human feelings and recognitions (Wang et al. 2009)

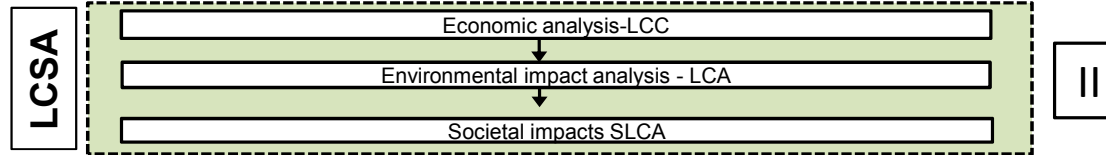


- Difficult approach
- No possibility to cope with uncertainties
- Methodology/approach not clear yet
- Develop a complex equation to solve problem:

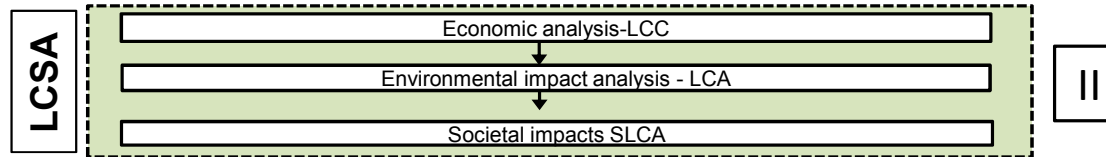
Engineer + SLCA =



Source: philosophiesofmen.blogspot.com

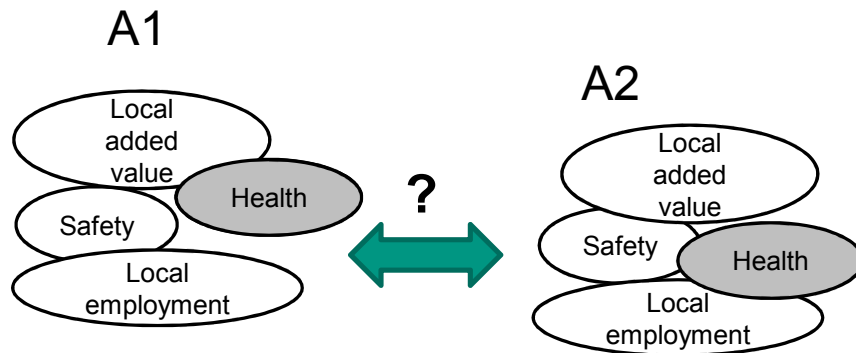
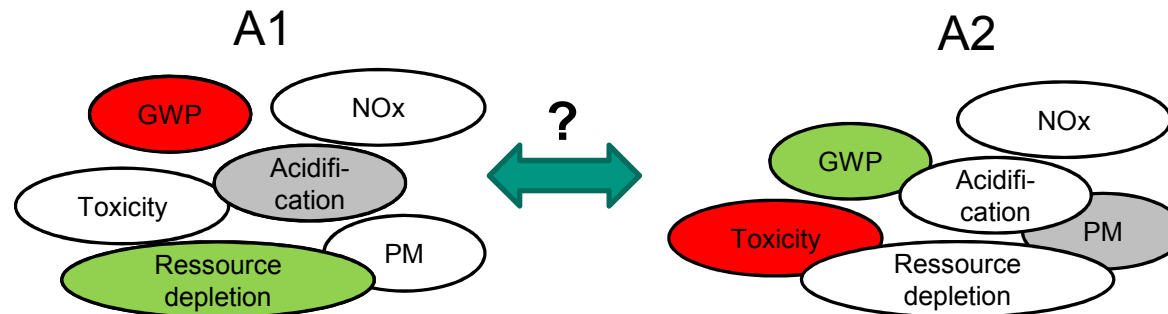


LCSA – Results?

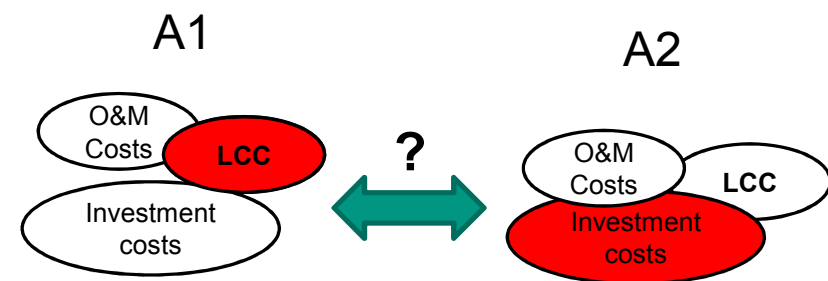


■ Results Technology A1 vs. Technology A2 within application X

LCA - Ecology

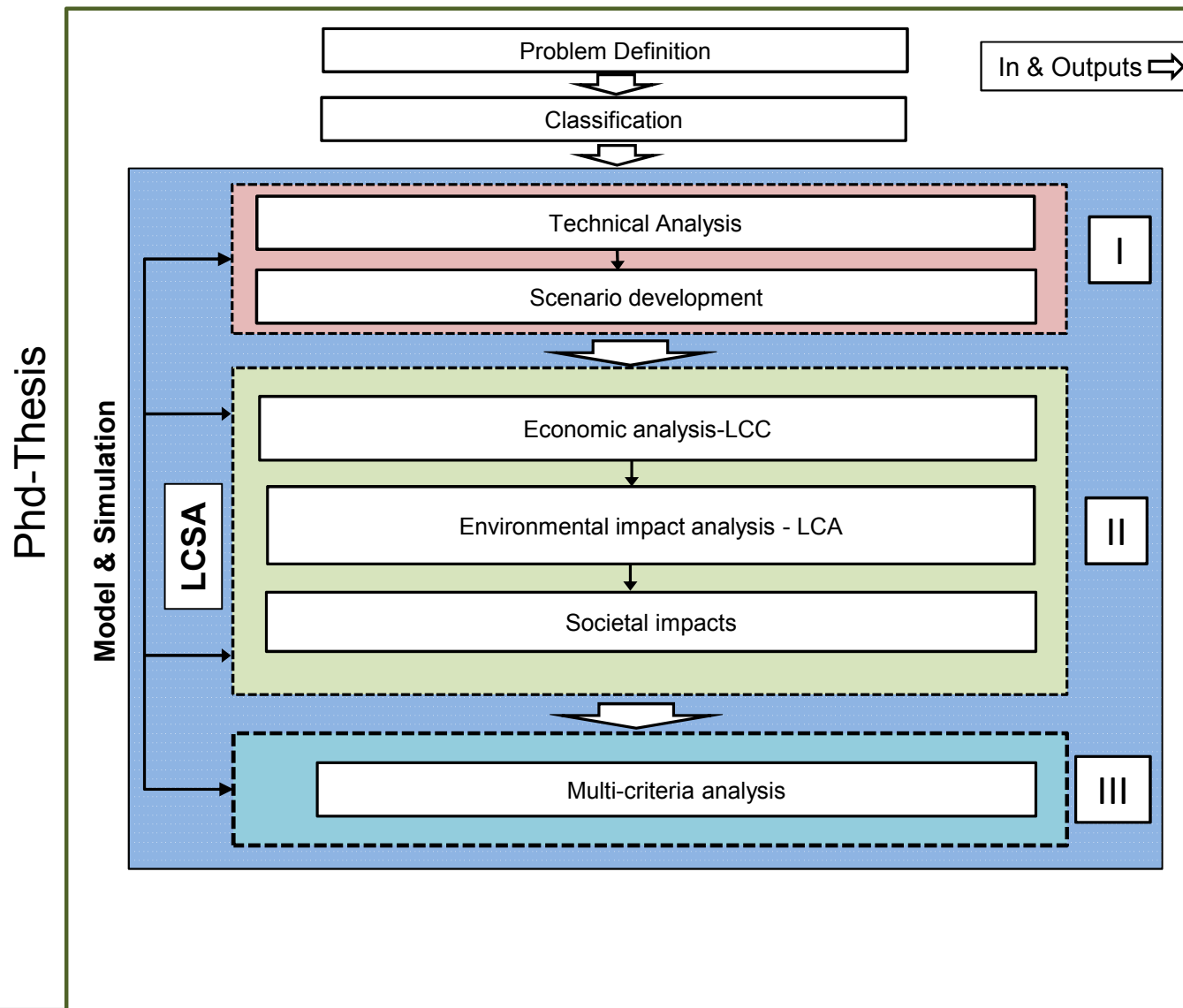


SLCA - Society



LCC - Economy

➡ **How compare the alternatives?**



- multi-criteria evaluation or analysis (MCA) to consolidate different category dimensions for one evaluation scale
- Suitable to address complex problems with high uncertainty
- Identify adequate weighting methods
- Identify proper multi-criteria decision analysis or evaluation methods
- What criteria are even relevant from a stakeholders view?
- How aggregate them?

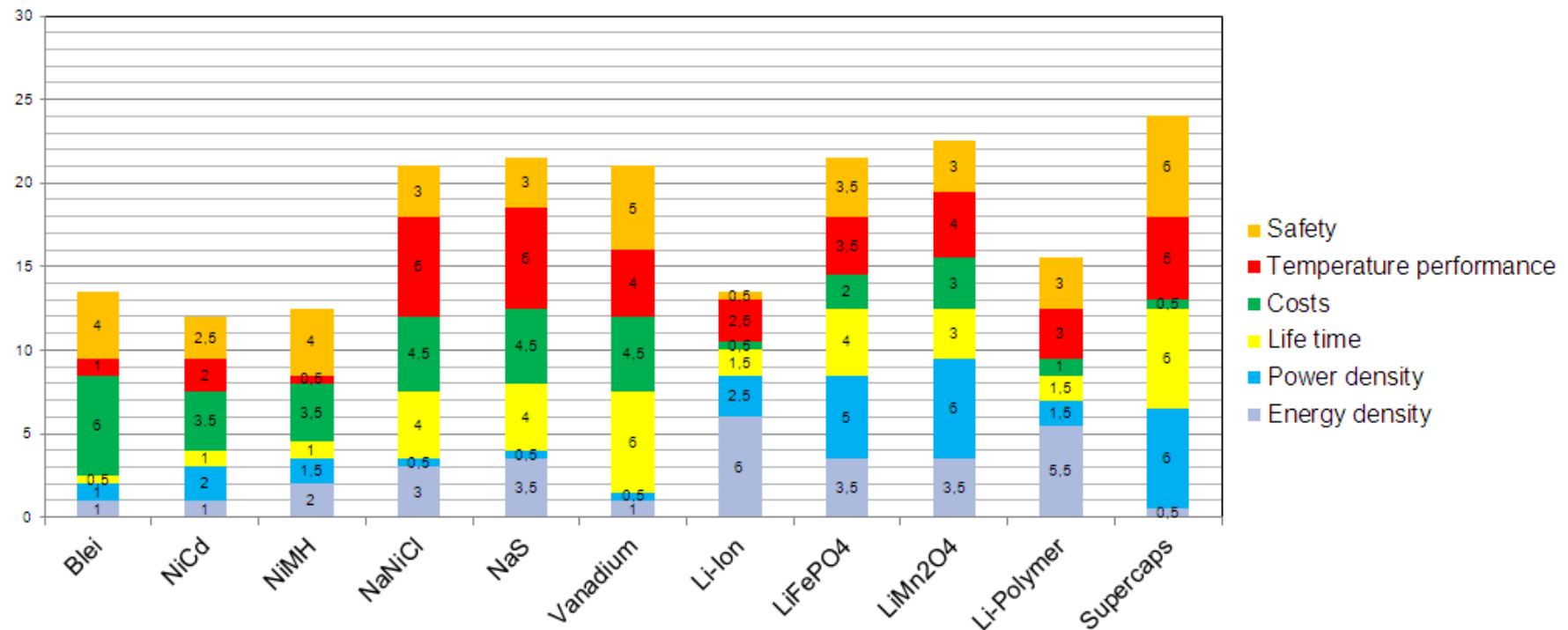


methods most suitable to solve the problem?

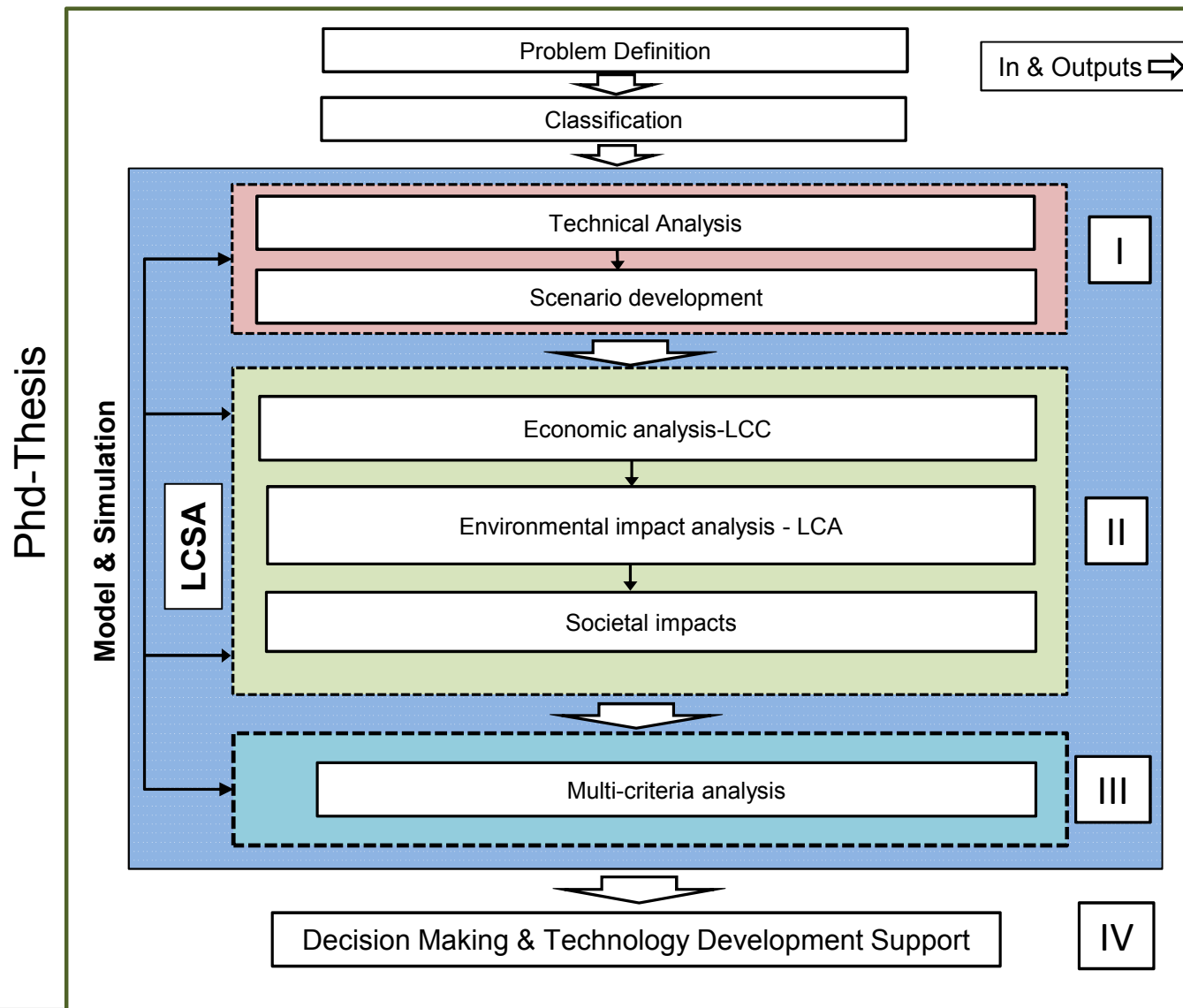


Source: de.123rf.com

- Example for a multi criteria evaluation of different storage technologies
- Equal weight (only techno-economic factors)



Comparison of different battery systems regarding technological aspects, without consideration of a specific application field and weighting system. (Weil, M.; Decker, M.; Fleischer, M.; Frankenberg, A. 2011)



- **Technical:** usability regarding different application fields
- **Economical (LCC):** Costs of storage in €/kWh
- **Environmental (simplified LCA):** Env. impact factors
- **Societal (SLCA):** Impacts on society
- **Total (multi-criteria analysis):** Evaluation and comparison

➡ Form a base for decision making

➡ **Complex, new approach.**



„Some“ Academic Claims

- ? Will this highly interdisciplinary approach work?
- ? Normativity of chosen criteria (consensus about economic and societal criteria within society)?
- ? Is the approach too complex?
- ? Multi-criteria weighting regarding the relevance?
- ? Is their data available?
- ? How cope with uncertainties?
- ? Trade offs (grade of detail)?

! Not enough space.....!



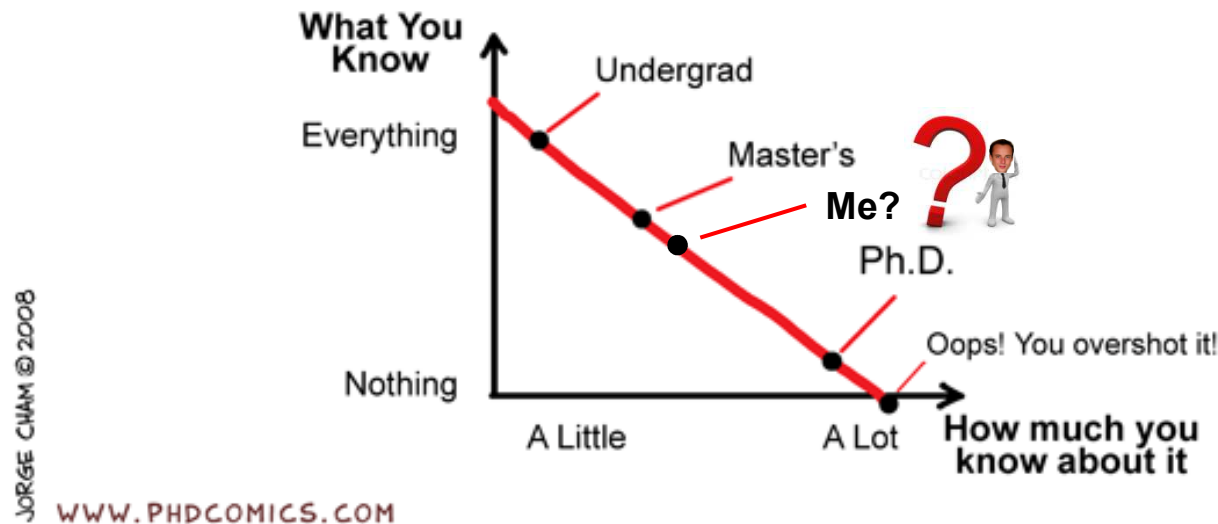
Source: fitness-aalen.de

Proposed Timetable

	Year	1st year				2nd year				3rd year			
No.	Working packages												
1	Collecting of data	x	x										
2	Development of the model		x										
3	Calculations					x	x	x					
4					x	x	x	x				
5						x	x	x				
6								x	x	x	x	x
7								x	x	x	x	x
8									x	x	x	x
8	Panic									x	x	x	x

Not enough time.....

What You Know vs How much you know about it



Muito Obrigado!
Perguntas?

Literature

- Gallego Carrera, D.; Mack, A. (2009): Quantification of social indicators for the assessment of energy system related effects. In: Stuttgart contributions to risk and sustainability research, 12.
- Gallego Carrera, D.; Mack, A. (2010): Sustainability assessment of energy technologies via social indicators: Results of a survey among European energy experts. In: Energy Policy, 38 (2), S. 1030-1039.
- J.-J. Wang, Y.-Y. Jing, C.-F. Zhang, and J.-H. Zhao, "Review on multi-criteria decision analysis aid in sustainable energy decision-making," Renewable and Sustainable Energy Reviews, vol. 13, no. 9, pp. 2263–2278, Dec. 2009.
- A. Grunwald, Technikfolgenabschätzung- eine Einführung, Bd. 1. Berlin: Edition Sigma, 2002.
- Hochschorner, E.; Finnveden, G.; „Evaluation of Two Simplified Life Cycle Assessment Methods“, International Journal of LCA, Bd. 3, Nr. 8, S. 119–128, 2003.
- J., Oberschmidt, „Multikriterielle Bewertung von Technologien zur Bereitstellung von Strom und Wärme“, Universität Göttingen, Göttingen, 2010.
- A. Grunwald, Rationale Technikfolgenbeurteilung: Konzepte und methodische Grundlagen, Bd. 1. Berlin-Heidelberg: Springer, 1999.
- D.; Rastler; „Electricity Energy Storage Technology Options: A White Paper Primer on Applications, Costs, and Benefits“, Electric Power Research Institute, California, 2010.
- J. Schot und A. Rip, „The past and future of constructive technology assessment“, Technological Forecasting and Social Change, Bd. 54, Nr. 2–3, S. 251–268, Feb. 1997.
- J. K. Musango und A. C. Brent, „A conceptual framework for energy technology sustainability assessment“, Energy for Sustainable Development, Bd. 15, Nr. 1, S. 84–91, März 2011.
- Holbach et. al., „Life Cycle Costing in Schifffahrt und Schiffbau (Life Cycle Costing)“, 01-Apr-2012. [Online]. Available: <http://www.cmt-net.org/index.php?id=226>. [Accessed: 25-Juli-2012].
- Norbert Feck, „Monte-Carlo-Simulation bei der Lebenszyklusanalyse eines Hot-Dry-Rock-Heizwerkes“, Fakultät für Maschinenbau der Ruhr-Universität Bochum, Bochum, 2007.