

### **The energy transition – an integrative analysis**

### Prof. Dr. Claudia R. Binder

Human-Environment-Relations in Urban Systems School of Architecture, Civil and Environmental Engineering École polytechnique fédérale de Lausanne

In collaboration with: Dr. Christof Knoeri, ETHZ; Maria Hecher, UniGraz

AK Geographische Energieforschung Karlsruhe, 8/9 April, 2016



Results

### The issue





**AK Energieforschung** 

Binder

### The issue

- Technological, institutional, and social "lock ins"
- Technological innovations alone are not sufficient for a transition towards more sustainable energy systems
- Social innovation is required:
  - Multi-level governance; New actor constellations and governance
  - Behavioral changes
- Necessity to study co-evolution of socio-technical systems (STS)
- Interdisciplinary research is required at theory, framework, methodological, and empirical level



### **Goal and Research Questions**

### Goal

Integrative and interdisciplinary analysis of energy transitions considering: (i) "technical" energy system; (ii) institutional development; (iii) individual behavior.

Focus: regional level

### **Research questions addressed**

- Which factors and behaviors affect(ed) the transition of the energy region?
- 2. How can these behaviors (buildings) be explained?



### **Conceptual framework**



### **The transition process**



## **The transition process**



**Conclusions** 

### **Elements of transition analysis** and management





Simulation and assessment of policies and strategies

### **Study areas**





Results

### **Study areas**

- ökoEnergieland / Güssing
  - Burgenland (AT)
  - 14 communities
  - Founded 1990 (2005)
  - Biomass
  - High unemployment and migration
- Energy region Weiz-Gleisdorf
  - Steiermark (AT)
  - 18 communities
  - Founded 1996
  - Energy technologies
  - Good employment possibilities





#### Binder

### ÖkoEnergieland Decentralized local energy production



### Weiz-Gleisdorf Light-house projects











Source: Bedenik and Hecher, 2012

### **Research questions**

- Which factors and behaviors affect(ed) the transition of the energy region?
  - Energy flow parameters and milestones
  - Future energy demand from buildings and regional supply
- 2. How can these behaviors be explained?



### **Milestones in the energy transition**

Milestones	Definition	Examples (ökoEnergieland)	
Visionary	Densification of guiding ideas	Energy Charta	
Institutional	Permanent and binding agreements of varying degrees	Foundation of ökoEnergieland	
Physical	Infrastructural measures in the energy sector	SNG-plant district heating plant	
External	Events affecting the development from outside	Joining EU / Leader program at EU level	

Source: Hecher, et al. 2016; Binder et al., 2014



Binder

### **Milestones in the energy transition**



### Linking energy demand to energy supply

### Scenarios for regional energy demand

#### Bottom up simulation of

15 scenarios

- Envelope renovation rate
- Legislative standards
- Heating technologies

#### Entities

- Individual buildings (SFH, MFH, NRB)
- Construction period
- Heating system

#### Data source

Statistical office Austria



Regional supply of renewable energy

#### Top down scenarios for supply potential

- Technical maximum
- Competing use
- Spatial accessibility

#### Entities

- Forest
- Agriculture
- Solar energy (PV, solar-thermal)

#### Data source

Statistical office Austria

Binder et al, 2016



### AK Energieforschung

#### Binder

### **Energy standards and energy demand in 2050**

#### BAU REN LEG TRANS **Ren. rate:** 0.8% **Ren. rate:** 1.6% **Ren. rate:** 0.8% **Ren. rate:** 16% **Energy standards Energy standards Energy standards Energy standards** New B.: 80 kWh/m<sup>2</sup>a New B.: 80 kWh/m<sup>2</sup>a New B.: 25 kWh/m<sup>2</sup>a New B.: $25 \text{ kWh/m}^2$ a Ren. B.: 100 kWh/m<sup>2</sup>a Ren. B.: 100 kWh/m<sup>2</sup>a Ren. B.: 50 kWh/m<sup>2</sup>a 50 kWh/m<sup>2</sup>a Ren. B.: Total energy demand Total energy demand Total energy demand Total energy demand SFH 1600 1600 1600 1600 MFH 588 GWh/a 536 GWh/a 527 GWh/a 445 GWh/a NRB 36 TWh 33 TWh 37 TWh 34 TWh Buildings Buildings Buildings Buildings 0 0 kWh/m2a kWh/m2a kWh/m2a 700 700 kWh/m2a 700 700 0 0 0 Single family houses Non residential buildings Multiple family houses Binder et al., 2016 **AK Energieforschung Binder** ÉCOLE POLYTECHNIQU Fédérale de Lausann



Conclusions







**AK Energieforschung** 

### **Aligning supply and demand**

	DEMAND			SUPPLY POTENTIAL	
Demand scenarios	BAU				
Heating systems scenarios	BAU	ALT	BIO	BAU	MAXI
Wood & Woodchips (2050) [GWh/a]¹	161	105	264	59	296
Solar-thermal (2050) [GWh/a] <sup>2</sup>	11	24	6	56	1692
Heat from DHS (2050) [GWh/a] <sup>3</sup>	29	30	27	85 (15)	425 (77)
Electricity (2050) [GWh/a] <sup>4</sup>	206	229	196	17	177

Binder et al., subm.



### Summary (I)

- Visionary leaders, political agents at **regime level** were key for creating a vision and promoting the transition.
- Co-evolution of the STS ⇒ Visionary and institutional milestones precede physical milestones.
- **Path dependency** of technical strategies selected linked to infrastructural measures such as district heating grid
- **Trade-off** between "faster" transition and "stock" of high energy efficient houses.
- Energy supply has to be planned in a flexible way.
  - Regional versus short distance?
  - Electricity supply



### **Research questions**

- 1. Which factors and behaviors affect(ed) the transition of the energy region?
- 2. How can these behaviors be explained?
  - Decisions on energy efficiency in the building sector



### **Methods**

- Explorative expert interviews (owners and experts)
- Survey (N=127 valid questionnaires) random sample from list of building permits (2008-2013)
- Multiple regressions
  - Decision on **own energy efficiency** standard
  - Preferred energy efficiency standard today
  - Energy efficiency standard recommended to a friend



### Three phases in selecting and evaluating energy efficiency in renovation and new buildings





### **Factors affecting decision on energy efficiency**

Energy efficiencies: A<sup>++</sup> = 10kWh/m<sup>2</sup>a, A<sup>+</sup> = 15kWh/m<sup>2</sup>a, A = 25kWh/m<sup>2</sup>a, B = 50kWh/m<sup>2</sup>a, C = 100kWh/m<sup>2</sup>a



N=127 / \*\*\* p< 0.001, \*\* p< 0.01, \* p< 0.05; + p< 0.1; Overall model, p < .001,  $R^2 = 0.31$  (Adjusted  $R^2 = .28$ )

Bedenik et al., 2015

### **Energy efficiency standard preferred today**

Energy efficiencies: A<sup>++</sup> = 10kWh/m<sup>2</sup>a, A<sup>+</sup> = 15kWh/m<sup>2</sup>a, A = 25kWh/m<sup>2</sup>a, B = 50kWh/m<sup>2</sup>a, C = 100kWh/m<sup>2</sup>a



N=127 / \*\*\* p< 0.001, \* p< 0.05; Overall model, p < .001, R<sup>2</sup> = 0.30 (Adjusted R<sup>2</sup> = .29)

Bedenik et al., 2015

### **Energy efficiency standard recommended**

Energy efficiencies: A<sup>++</sup> = 10kWh/m<sup>2</sup>a, A<sup>+</sup> = 15kWh/m<sup>2</sup>a, A = 25kWh/m<sup>2</sup>a, B = 50kWh/m<sup>2</sup>a, C = 100kWh/m<sup>2</sup>a



N=127 / \*\*\* p< 0.01, \* p< 0.05, + p< 0.1, Overall model, p < .001, R<sup>2</sup> = 0.31 (Adjusted R<sup>2</sup> = .29)

Bedenik et al., 2015

### Summary (II)

- Between the orientation phase and the final decision the desired energy efficiency decreases.
- Key decision factors are: expert recommendation > age > attitude and knowledge.
- The energy efficiency aimed at today and recommended to a friend are higher than the one the owners implemented themselves.
- Social networks do not play a significant role yet



### Conclusions

- Delay between institutional development and technical energy system
- Path-dependency / socio-technical lock ins
- Supply has to be aligned to changes and dynamics in energy demand, otherwise recommendations might lead to "overshoot" or inflexible supply structures
   → need to include space in supply analysis
- Experts are key to change behavioral patterns
  → role of universities and higher education
- Feedbacks between decisions and social environment not measurable yet.





# Thank you for your attention!

Contact: claudia.binder@epfl.ch

Thanks to:

Austrian Climate Fund, BMBF, Iris Absenger, Roya Akhavan, Katja Bedenik, Enrico Cesare, Alessandra Goetz, Ralph Hansmann, Maria Hecher, Lisa Ketzer, Martin Kislinger, Christof Knoeri, Andreas Kreuzeder, Sophia Lohmayer, Anne von Streit, Ulli Vilsmaier, ...



#### References

- Bedenik, K., Hansmann, R., Popp, M., v. Streit, A., Binder, C.R., 2015. Energy efficiency standards of single-family houses: Factors of homeowners' decision-making in two Austrian regions, *Energy and Environment Research*, 5 (2). <u>http://dx.doi.org/10.5539/eer.v5n2p49</u>.
- Binder, C.R., Hecher, M., Vilsmaier, U., 2014. Visionen, Institutionen und Infrastrukturen als Elemente der Energietransformation, in: Böschen, S., Gill, B., Kropp, C., Vogel, K. (Eds.), Klima von unten - Regionale Governance und gesellschaftlicher Wandel.
- Binder, C.R., Knoeri, Ch., Hecher., M., 2016. Modeling transitions towards energy autonomy at regional level *Raumforschung und Raumordnung*. Forthcoming (accepted with minor revisions)
- Hecher, M., Vilsmaier, U., Akhavan, R., Binder, C. R., 2016. An integrative analysis of energy transitions in energy regions: A case study of ökoEnergieland in Austria. *Ecological Economics*, 121, 40-53. <u>doi:10.1016/j.ecolecon.2015.11.015</u>.

